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PROVISIONAL ELECTION REQUIRED UNDER

35 U.S.C. § 121 and 37 CFR § 1.143

and

ARGUMENTS TRAVERSING REQUIREMENT FOR RESTRICTION

(13 pages including this cover sheet)

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In Re: Stanley H. Kremen (Inventor)
Non-Provisional Patent Application No. 09/853,790
Filed: May 11, 2001

Examiner: Alessandro V. Amari
Group Art Unit: 2872

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Gentlemen:

Receipt of your first office action dated November 20, 2002 is hereby acknowledged. A reply to that office action was sent to your office via US Postal Service Express Mail on December 10, 2002 (hereinafter the FIRST REPLY). On April 14, 2002, the Examiner mailed to the Applicant a notice indicating that said FIRST REPLY is not fully responsive. In this current reply, Applicant fully intends to correct the deficiencies in said FIRST REPLY.

In your office action of November 20, 2002, the Examiner issued a requirement for restriction based upon 35 U.S.C. § 121. In that action, the Examiner identified claims in the application which in his opinion belonged to six separate species (or embodiments) that are independent and patentably distinct. His requirement for restriction is based upon said opinion defining said six species. The Applicant respectfully disagrees with his opinion, traverses the Examiner's argument, and offers arguments to convince the Examiner.

PROVISIONAL ELECTION REQUIRED UNDER 35 U.S.C. §121 and 37 CFR §1.143

The Applicant hereby respectfully submits to the Examiner the following arguments along with attached amendments, and requests that the restriction requirement be removed. The Applicant also believes that, should the Examiner accept the claim amendments, the restriction requirement would become moot. However, the Applicant is also aware of the requirement under 35 U.S.C. §121 and 37 CFR §1.143 that he provisionally elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. To comply with this requirement, the Applicant provisionally elects the Examiner's designated **Species 3 (claims 12-22)** for further prosecution. However, by doing so, the Applicant does not waive his right of appeal or petition regarding any adverse decision on this matter.

ARGUMENTS TRAVERSING REQUIREMENT FOR RESTRICTION

The non-provisional application No. 09/853,790 (hereinafter the SUBJECT APPLICATION) is a continuation-in-part of non-provisional application No. 09/749,984 (still pending) filed on December 27, 2000, which in turn is a continuation of non-provisional application No. 09/111,990, filed on July 8 1998, now U.S. Patent No. 6,229,562 (hereinafter the '562 patent) granted May 8, 2001, which is non-provisional of provisional application No. 60/051,972 filed on July 8, 1997. The aforementioned applications and patent have been incorporated into the SUBJECT APPLICATION by reference in their entirety.

Unfortunately, the Applicant does not have in his possession a copy of the original application with line numbers. Consequently, references to the specification of said application could cause confusion. Furthermore, Applicant desires to submit preliminary amendments to the specification and the claims. Therefore, applicant submits herewith a substitute specification according to 37 CFR § 1.125, and requests that said substitute specification be entered. A clean copy of the substitute specification is attached hereto followed by a marked-up version of the original specification showing the changes. No new matter has been introduced.

In your office action of November 20, 2002, the Examiner identified the following designation of six species which the Applicant will use for identification purposes only. Furthermore, the claims to said species are patentable over each other. However, the Applicant asserts that many claims in the application are representative of alternate embodiments of the same invention.

- **Species 1** - Method of preparing a hologram by moveable apertures with focusing systems with F-numbers being equal - **claims 1-8**
- **Species 2** - Method of preparing a hologram using holographic multiplexing optics - **claims 9-11**
- **Species 3** - Method of preparing a hologram using Bragg angle holography - **claims 12-22**
- **Species 4** - Method of preparing a hologram using an aberration and distortion-free optical system - **claims 23-29**
- **Species 5** - Method of preparing a hologram wherein the 2-D image of an integral photograph is projected onto a diffuser plate - **claims 30-32**
- **Species 6** - Method of preparing a hologram using image inversion from pseudoscopy to orthoscopy - **claims 33-35**

The above distinctions (divisions into species) created by the Examiner are incorrect and not representative of the invention described in the SUBJECT APPLICATION. Therefore, Applicant respectfully submits that the Examiner has not established a *prima facie* case for restricting the claims in the SUBJECT APPLICATION.

Referring to **Species 1**, claim 1 is independent while claims 2-8 are dependent thereon. The Examiner states that the method claimed in the entire species is characterized by use of **moveable apertures** with focusing systems with F-numbers being equal. However, nowhere in claim 1 is there a mention of **moveable apertures**. In addition, **diffuse coherent light** is utilized in each of claims 1-8. While claims 2-8 are

dependent upon claim 1, claim 1 was crafted by the Applicant to be a generic claim that encompasses the entire invention.

Referring to **Species 2**, claim 9 is independent while claims 10-11 are dependent thereon. Please note that the method of **Species 2** also uses **moveable apertures**. Furthermore, it is not "**a method of preparing a hologram using holographic multiplexing optics**" as claimed by the Examiner. Instead, it represents "**[a] method of preparing a hologram <u>to be used for</u> elemental image multiplexing ...**" The hologram(s) referred to therein are components of the first active optical system of claim 1, and described in the specification of the SUBJECT APPLICATION as well as in the specifications and claims of the parent applications and the '562 patent. In addition, **diffuse coherent light** is utilized in each of claims 9-11.

Referring to **Species 3**, claim 12 is independent while claims 13-22 are dependent thereon. While the method of **Species 3** does not use **movable apertures**, it functions by repositioning the photographic plate. Movement of the laser beam(s) relative to a photographic plate and movement of the photographic plate relative to the laser beam(s) should be considered to be an equivalent operation. However, examination of the description in the specification as well as examination of Figures 11(a) and 11(b) shows that fixed apertures are used. Furthermore, while the hologram created from the process of **Species 3** is a Bragg angle hologram, it must be remembered that said hologram is a component of the second active optical system of claim 1, and described in the specification of the SUBJECT APPLICATION as well as in the specifications and claims of the parent applications and the '562 patent.

Referring to **Species 4**, claim 23 is independent while claims 24-29 are dependent thereon. The method described therein is not "**a method of preparing a hologram using an aberration and distortion free optical system**" as claimed by the Examiner. Instead it represents "**[a] method of preparing a hologram to be used ... as a high quality holographic imaging system to transfer low abberation and low distortion images ...**" It must be remembered that said hologram is a component of the first active optical system of claim 1, and described in the specification of the SUBJECT APPLICATION as well as in the specifications and claims of the parent applications and the '562 patent.

Referring to **Species 5**, claim 30 is independent while claims 31-32 are dependent thereon. It is true that the method claimed therein uses a diffuser plate, but it must also be remembered that the most practical embodiment of the invention of the parent applications and the '562 patent requires that a 2-dimensional representation of the initial 3-dimensional scene be recorded permanently as an integral photograph. This recording is shown in **Figure 1** by numeral **3**. A magnified 2-dimensional image of that permanent recording is shown in **Figure 1** by numeral **4**. This magnified image can also be a permanent recording. In **Figure 1**, both recordings **3** and **4** are components of the first active optical system of claim 1, and described in the specification of the SUBJECT APPLICATION as well as in the specifications and claims of the parent applications and the '562 patent. The inclusion of these recordings as components of the integrated optical system of the referenced invention is key to the utility of some of its embodiments. As described in the parent applications and the '562 patent, said recordings may be prepared as ordinary photographs or they may be prepared as holograms. The parent applications

and the '562 patent describes several advantages of using holograms instead of ordinary photographs such as permitting movement of the film at constant velocity. The method described in **Species 5** refers to preparation of said components of said first active optical system.

Finally, referring to **Species 6**, claims 33-35 are each independent. However, it must be remembered that conversion from pseudoscopy to orthoscopy is a necessary function of the integrated optical system of claim 1. In fact it is a part of the preferred embodiment of the invention of the parent applications and the '562 patent, and represents a necessary requirement therein.

It should be obvious, therefore, that claim 1 is a generic claim that encompasses the entire invention. Just as a conventional optical system designed to produce a desired imaging result can be produced as a single optical element or, alternatively, as a complex optical system comprised of a plurality of optical elements, so also can a holographic optical system be produced as a single hologram or as a complex optical system comprised of a plurality of coordinated and complementary holograms. Furthermore, said complex holographic optical system may also contain some non-holographic optical elements. The SUBJECT APPLICATION claims a method wherein either the entire optical system may be created as a whole or as individual elements. The use of movable apertures, movable photographic plates, and/or diffuser plates merely represent alternative embodiments of a method of preparing said optical system. The '562 patent defines a single invention comprised of a system that produces 3-dimensional images even though it describes preferred and alternate embodiments. Likewise, the SUBJECT

APPLICATION also represents a single invention of a method of preparing the optical system for said '562 patent where holographic optical elements are used even though it also describes preferred and alternate embodiments. 35 U.S.C. § 112 first paragraph states:

> **35 U.S.C. § 112 Specification.**
> *The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.*

If the SUBJECT APPLICATION indeed defines a single invention containing a preferred and several alternate embodiments, then a restriction requirement for election of one such embodiment would necessitate the Applicant filing divisional applications for the non-elected embodiments. Consequently, said divisional applications might not be able to claim "the best mode contemplated by the inventor of carrying out his invention." While the specification may still describe said best mode, the absence of the preferred embodiment would tend to make portions of the specification extraneous.

37 CFR § 1.141(a) states:

> **37 CFR § 1.141 Different inventions in one national application.**
> *(a) Two or more independent and distinct inventions may not be claimed in one national application, except that more than one species of an invention, not to exceed a reasonable number, may be specifically claimed in different claims in one national application, provided the application also includes an allowable claim generic to all the claimed species and all the claims to species in excess of one are written in dependent form (§ 1.75) or otherwise include all the limitations of the generic claim.*

In the SUBJECT APPLICATION, it was always intended by the Applicant that claim 1 be the generic claim to all the claimed species. Although claims 9, 12, 23, 30, 33, 34 and 35 are independent, they are meant to describe a single invention, just as independent claims in the '562 patent describe a single invention. However, the Applicant recognizes that if the Examiner is confused by the appearance of several independent claims, the public might also be confused.

Therefore, attached to this reply are amendments to the specification and claims of the SUBJECT APPLICATION. The Applicant asserts that by so amending, no new matter is introduced into the SUBJECT APPLICATION. Everything contained in the amendments is present either in the SUBJECT APPLICATION, the parent applications, or the '562 patent.

The first amendment is to the title of the invention. The Applicant desires this change for clarification purposes so that the subject matter of the invention described and claimed in the SUBJECT APPLICATION should be more understandable. Next, several amendments are made to the specification, said amendments being incorporated into the new substitute specification. These amendments are consistent with the change in title, and serve to better describe the preferred and alternate embodiments of the invention. Finally, amendments are made to the claims. The resultant application now only has one independent claim, *i.e.,* **claim 1**. The amendment to claim 1 is in the preamble, and it is consistent with the change in title. Dependent claims 36-40 have been added with claim 39 being in multiple dependent form dependent in the alternate upon claims 36, 37, or 38.

- Claim 36 is consistent with and dependent upon amended claim 1, and claims the method for making a coordinated and complementary set of holograms for the recording and projection of images in substantially 3-dimensional format.
- Claim 37 is consistent with and dependent upon amended claim 1, and it claims the special case wherein the coordinated and complementary set of holograms is actually a single hologram.
- Claim 38 is consistent with and dependent upon amended claim 1, and it claims the special case wherein the coordinated and complementary set of holograms is part of an integrated optical system comprising some non-holographic optical elements.
- Claim 39 is a multiple dependent claim dependent in the alternate upon claims 36, 37, or 38, and it claims the preparation of a hologram by exposing portions of a photographic plate incrementally until the entire hologram is produced.
- Claim 40 is dependent upon claim 39, and it claims the use of movable apertures in accomplishing said incremental exposure.

Next, amendments are made to existing claims 2, 9, 12, 23, 30, 33, 34, and 35:

- Claim 2 is made dependent upon claim 40.
- Claim 9 is made dependent upon claim 2.
- Claim 12 is made dependent upon claim 39.
- Claim 23 is made dependent upon claim 38.
- Claim 30 is made dependent upon claim 38.
- Claim 33 is made dependent upon claim 38.
- Claim 34 is made dependent upon claim 38.

- Claim 35 is made dependent upon claim 38.

I trust that the arguments presented by the Applicant along with the amendments to the title, specifications, and claims will convince the Examiner to remove the restriction requirement. However, should said arguments and amendments not be convincing in and of themselves, the Applicant offers the following additional argument.

In the Manual of Patent Examining Procedure, Original Eighth Edition, August 2001, Section MPEP §808.02 states:

> **808.02 Related Inventions**
> *Where, as disclosed in the application, the several inventions claimed are related, and such related inventions are not patentably distinct as claimed, restriction under 35 U.S.C. 121 is never proper (MPEP § 806.05). If applicant optionally restricts, double patenting may be held. Where the related inventions as claimed are shown to be distinct under the criteria of MPEP § 806.05(c)-§ 806.05(i), the examiner, in order to establish reasons for insisting upon restriction, must show by appropriate explanation one of the following:*
>
> (A) **Separate classification thereof**: *This shows that each distinct subject has attained recognition in the art as a separate subject for inventive effort, and also a separate field of search. Patents need not be cited to show separate classification.*
>
> (B) **A separate status in the art when they are classifiable together**: *Even though they are classified together, each subject can be shown to have formed a separate subject for inventive effort when an explanation indicates a recognition of separate inventive effort by inventors. Separate status in the art may be shown by citing patents which are evidence of such separate status, and also of a separate field of search.*
>
> (C) **A different field of search**: *Where it is necessary to search for one of the distinct subjects in places where no pertinent art to the other subject exists, a different field of search is shown, even though the two are classified together. The indicated different field of search must in fact be pertinent to the type of subject matter covered by the claims. Patents need not be cited to show different fields of search. Where, however, the classification is the same and the field of search is the same and there is*

no clear indication of separate future classification and field of search, no reasons exist for dividing among related inventions.

Even if the Examiner still believes that his six proposed species represent separate independent inventions and not alternate embodiments, he should be able to see that the species are related, that they do not possess a separate classification, that they do not represent a separate status in the art, and that they do not require a different field of search. In fact, the pre-grant publication indicates a U.S. classification of 359/31 (with an auxiliary classification of 359/32) and an international classification of G03H 1/00 (with an auxiliary classification of G03H 1/22).

The Applicant does not admit that all claims in the SUBJECT APPLICATION stand or fall together.

Respectfully submitted,

Stanley H. Kremen

Stanley H. Kremen,
Applicant
Registration No. 51,900

#9 Sub Spec
Ok to enter
ala 7/23/03

Application for Letters Patent of the United States

Inventor:

STANLEY H. KREMEN

Title of Invention:

METHOD FOR MAKING A COORDINATED AND COMPLEMENTARY SET OF HOLOGRAMS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT

SUBSTITUTE SPECIFICATION

To All Whom It May Concern:

The following is a specification of the aforesaid Invention:-

CROSS-REFERENCE

This application is submitted as a preliminary amendment to Application No. 09/749,984. It contains an amended specification, amended drawings, and new as well as amended claims. The specification contained herein is a substitute for the original specification, and the drawings contained herein are amended by substitution of figure and reference numbers. This non-provisional application is a continuation of Application No. 09/111,990 filed July 8, 1998, which in turn is a continuation of U.S. Provisional Application Serial No. 60/051,972 filed July 8, 1997, and claiming benefit of said application. Said application 09/111,990 converted into U.S. Patent No. 6,229,562 which was issued on May 8, 2001, and said application and said patent are hereby incorporated by reference herein.

METHODS OF PREPARING HOLOGRAMS

CROSS REFERENCE TO RELATED APPLICATION(S) CLAIM OF PRIORITY

This application is a continuation-in-part of and claims benefit of co-pending U.S. Nonprovisional application Ser. No. 09/749,984 filed Dec. 27, 2000, which in turn is a continuation of U.S. Nonprovisional application Ser. No. 09/111,990 filed Jul. 8, 1998, now issued as U.S. Pat. No. 6,229,562, which in turn claims benefit of U.S. Provisional Application Ser. No. 60/051,972 filed Jul. 8, 1997. The aforementioned patent applications and patent are hereby incorporated by reference in their entirety herein.

STATEMENT REGARDING FEDERALLY ~~SPONSORED RESEARCH OR DEVELOPMENT~~

Not applicable.

REFERENCE OF AN APPENDIX

Not applicable.

BACKGROUND

1. Field of the Invention

This invention relates to a method for making a coordinated and complementary set of holograms to be used in a SYSTEM AND APPARATUS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT.

2. Brief Description of Related Art

U.S. Patent No. 6,229,562 that is incorporated herein by reference (hereinafter referred to as "patent '562") discloses and claims a SYSTEM AND APPARATUS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT. The invention described therein derives from the principles of holography and/or integral photography. Patent '562 first discloses a basic principle of magnification and projection. This principle permits magnification and projection of 3-dimensional images uniformly in all directions, thereby overcoming drawbacks in the prior art. Based upon this principle, cameras are described, in their various embodiments, that photograph a scene and retain the 3-dimensional information therein. An editor is also described that would edit integral photographs and holograms containing the 3-dimensional information from the photographed scene. In

addition, a theater is designed to project the magnified 3-dimensional scene that was photographed, upon a large screen to be viewed by an audience. Further, the projectors and screens are described in their various embodiments.

The system described in patent '562 is an integrated optical system that produces a magnified 3-dimensional image of an original 3-dimensional scene via the principle of wavefront reconstruction. The input to this integrated optical system is the optical wavefront emanating from the original 3-dimensional scene. The output from this integrated optical system is the wavefront of the magnified 3-dimensional image. The integrated optical system is comprised of two main components or active optical systems. The first component or first active optical system accepts the optical wavefront from the original scene as input, transforms said input into a plurality of 2-dimensional elemental images arranged as a 2-dimensional matrix. The output of the first component or first active optical system is a magnified 2-dimensional image of said 2-dimensional matrix. The output of the first component or first active optical system becomes the input to the second component or second active optical system. This second component or second active optical system then transforms said magnified 2-dimensional input image and reconstructs a magnified 3-dimensional image as its output. The optical wavefront emanating as output from said second component or second active optical system is the same as though it would have emanated from the magnified 3-dimensional scene were said magnified 3-dimensional scene to physically exist. The first active optical system may or may not produce a permanent or semi-permanent recording of the original 3-dimensional scene. Patent '562 further divides said first and second components into sub-components, and, in some embodiments, the sub-components thereof are further divided into sub-components. Among the sub-components of said first component, patent '562 refers to one sub-component as a "camera" and to another sub-component as a "projector." Furthermore, patent '562 often refers to said second component as a "screen."

Within some of the embodiments of the camera, projector, and screen, specially prepared holograms are used as optical elements therein. In these embodiments, some or all of said elements may be holograms. Use of these holograms affords the advantage of being able to replace complex, bulky, difficult to manufacture, and expensive conventional optical elements needed to produce certain types of images

during photography, magnification, and projection. In addition, some of the embodiments of the screen are themselves holograms. Unlike conventional projection screens used in current theaters, the screen described in patent '562 is an active optical element that, when combined with the projection optics, causes light waves to emanate from the screen into the theater that are the same as though the 3-dimensional scene were real. Therefore, the viewing audience should not be able to perform any visual test to determine whether or not the projected 3-dimensional scene truly exists. The use of a specially developed holographic screen affords the advantage of replacing more conventional optical components used in screen fabrication.

In view of the above, it is therefore an object of the invention to provide a method of preparing the coordinated and complementary set of holograms comprising the optical elements in the camera, projector, and screen embodiments described in patent '562. Various embodiments of said method are presented herein.

SUMMARY OF THE INVENTION

The object of the invention as well as other objects which shall be hereinafter apparent are achieved by the METHOD FOR MAKING A COORDINATED AND COMPLEMENTARY SET OF HOLOGRAMS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT comprising embodiments of a method of producing the various holographic optical elements specified and claimed in patent '562.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be better understood by the Detailed Description of the Preferred and Alternate Embodiments with reference to the drawings, in which:

Figure 1 illustrates the method of magnification that is the basis for both this application and patent '562.

Figure 2 illustrates how a magnified image can be projected before an audience.

Figure 3 is a schematic of primary holographic projection using two matrix lens arrays.

Figure 4 is a schematic showing the optics of the preferred embodiment of the holographic projector.

Figure 5 illustrates how HOLOGRAM #1 in Figure 4 can be prepared.

Figure 6 illustrates how HOLOGRAM #2 in Figure 4 can be prepared.

Figure 7 is a schematic showing the standard method of image inversion.

Figure 8 shows how image inversion can be accomplished without loss of resolution.

Figure 9 is a schematic of holographic multiplexing optics.

Figure 10 is a schematic showing the method of holographic multiplexing using the optics shown in Figure 9.

Figure 11 shows the process for formation or manufacture of the front projection holographic screen.

Figure 12 shows the method of reconstruction from projection onto the front projection holographic screen.

Figure 13 is a schematic of a primary holographic imaging system using high quality optics.

Figure 14 shows the method of fabricating a high quality holographic imaging system.

Figure 15 shows how the holographic imaging system of produced using the method of Figure 14 can be used for projection of high quality images.

Figure 16 shows the use of a hologram whose reconstructed real image is a 2-dimensional integral photograph.

Figure 17 shows a method of preparing strip holograms.

Figure 18 shows image inversion from pseudoscopy to orthoscopy using integral photography.

Figure 19 shows image inversion from pseudoscopy to orthoscopy using holography and integral photography.

Figure 20 shows image inversion from pseudoscopy to orthoscopy using holography.

DETAILED DESCRIPTION OF THE PREFERRED AND ALTERNATE EMBODIMENTS

The present invention, in all its embodiments, is based upon a method that permits magnification of a 3-dimensional image produced from a photograph, hologram, optical system or other system or device, regardless of the medium or the method, in such manner as to preserve the depth to height and width relationship of the image as it existed prior to magnification. This method requires the 3-dimensional image prior to magnification to be rendered as an array of 2-dimensional images by some form of matrix lens array, such as a fly's eye lens. Were this array of 2-dimensional images to be magnified by some magnification factor, and then viewed or projected through a new matrix lens array that has been scaled up from the lens array that produced the original array of 2-dimensional images, such that the scaling factor is equal to the magnification (*i.e.,* the focal length and diameter of each lenslet must be multiplied by the same magnification factor), a new 3-dimensional image would be produced that would be magnified by the same magnification factor, and all image dimensions would be magnified by the same factor such that all dimensions of the final 3-dimensional image would be proportional to the dimensions of the original image. The utility of magnifying 3-dimensional images using this method would be the ability to enlarge holograms or integral photographs or other media from which 3-dimensional images are produced, or to project still or moving 3-dimensional images before a large audience.

The magnification principle is illustrated in Figure 1. Object 1 is photographed by matrix lens array 2, thereby producing integral photograph 3. Integral photograph 3 is then magnified to give integral photograph 4 which is then placed behind matrix lens array 5. This combination yields magnified image 6. It must be noted here, that during scaling-up, the *(F/#)* of the lenslets remains constant.

Projection is merely another form of magnification. The only difference lies in the fact that no permanent record is produced as in photography. To illustrate the principle of projection, let us use as an example, the technique of rear projection shown in Figure 2. (As will be seen later, it is also possible to illustrate this principle with front projection.) Were an integral photographic transparency to be projected at some given magnification onto a translucent screen 7 which is behind a large matrix lens array 8, an observer 9 in the audience sitting in front of the matrix lens array will see the magnified 3-dimensional

image 10. The 3-dimensional image can be made orthoscopic, and can be made to appear either in front of or behind the matrix lens array.

The camera consists of an optical system that would produce the 2-dimensional array of 2-dimensional images on a plane, the plane and/or recording medium whereon the 2-dimensional array is produced, the mechanical apparatus (if any) associated with the image plane and/or recording medium, a means (if any) for adjusting the optical system for focus and/or special effects, and the housing (if any) that integrates the optical system, the mechanical system and the image plane and/or recording medium into a single unit. An example of the optical system is a matrix lens array such as a fly's eye lens arranged so as to produce a rectangular matrix array of rectangular 2-dimensional images. The image plane, for example, would contain a film for recording the 2-dimensional images. Once developed, the matrix array photograph would be called an integral photograph. If the camera is a motion picture camera capable of capturing moving 3-dimensional images in the form of a sequential series of integral photographs, a film motion and film stabilization mechanism would be required. Finally, such a camera might require a housing to integrate the components and to provide a dark environment so as to not expose the film unnecessarily.

The projector consists of an optical system that would project a magnified image of the processed 2-dimensional integral photograph produced by the camera onto an image plane that would be converted by the screen into a magnified 3-dimensional image, the mechanical apparatus (if any) associated with the image plane and/or recording medium, a means (if any) for adjusting the optical system for focus and/or special effects, and the housing (if any) that integrates the optical system, the mechanical system and the image plane and/or recording medium into a single unit. If the projector is a motion picture projector capable of magnifying moving 3-dimensional images in the form of a sequential series of integral photographs, a film motion and film stabilization mechanism would be required. Finally, such a projector might require a housing to integrate the components and a projection lamp.

The screen consists of an active optical system configured as a matrix lens array comprised of a plurality of optical elements. The screen has the same number of active optical elements as the matrix lens array used in the camera and configured identically as in the camera. In the preferred embodiment of the system, the matrix lens array of the screen is larger than that of the camera such that the ratio of the

diameter of the screen lenslets to the diameter of the camera lenslets is equal to the image magnification. However, the *(F/#)* of the lenslets in the screen matrix lens array must be equal to the *(F/#)* of the lenslets in the camera matrix lens array. Finally, the screen might consist of a mechanism to filter the color of certain portions of the projected image in order to produce a color rendition of a scene projected upon it in black-and-white.

Patent '562 describes a number of methods for projecting the photographed scene residing on a 2-dimensional integral photograph or hologram onto a large screen thereby creating a magnified 3-dimensional image of the scene. Many of these utilize complex systems comprised of conventional optics. Conventional optical systems such as those described in patent '562 are expensive to manufacture, and the images produced therefrom are subject to abberation and distortion. By contrast, holographic imaging devices are inexpensive to manufacture, and images produced from them are generally abberation and distortion free. One method of accomplishing projection using a holographic imaging device is shown in Figure 3. This is the preferred embodiment of the projection system. In this case, instead of using expensive projection lenses, two matrix lens arrays, 11 and 12, are used as shown. On the secondary image plane 14, the image is magnified by the desired amount, and the ratio of the size of the elements of matrix lens array 12 to matrix lens array 11 is equal to the magnification. The hologram is prepared as follows. In the setup shown in Figure 3, replace both the film 13 and the secondary image plane 14 by two diffuser plates. Between the film plane diffuser plate and matrix lens array 11, place a movable aperture which is the size of one element on the film frame 13, and between the secondary image plane and matrix lens array 12, place a similar movable aperture which is the size of a magnified element on the secondary image plane 14. A high resolution photographic plate is positioned in the hologram plane 15. The film plane aperture is placed in front of the first elemental position and the secondary image plane aperture is placed in the corresponding first elemental position. Both diffuser plates, 13 and 14, are then trans-illuminated by an appropriate laser for a sufficient time to expose the hologram 15. (This may have to be done for each element by exposing it with many bursts of low intensity laser radiation.) Both apertures are then moved to the second elemental positions and the hologram is exposed again; and so-on for every elemental position. Another method of preparing the same hologram is to also place an appropriate elemental aperture in front

of the hologram plane 15. This elemental aperture moves to a different position in front of the hologram plane every time the other two apertures move. The addition of this third aperture will avoid reciprocity problems with the photographic emulsion. (Reciprocity problems will also be avoided by the short-burst method mentioned above. The advantage of the short-burst method over the third aperture method is that crosstalk between elements is avoided.) This method of projection using holographic imaging seems to be the most practical embodiment of the projection principle.

Holographic imaging devices can be used with more-or-less standard, inexpensive lenses to accomplish all projection functions. Figure 4 shows the final schematic configuration of this type of projector. ~~This represents the preferred embodiment of the optics of the holographic projector.~~ The image on the film 16 is first magnified onto a secondary image plane 17 holographically using two matrix lens arrays, 18 and 19, and the first hologram 20. This magnified image is then used as the reference beam for the second hologram 21 so as to reconstruct a magnified, unmultiplexed, inverted image on the unscrambled image plane 22. This unscrambled image plane can either be an intermediate plane or the screen itself. In the configuration shown, it is an intermediate plane, and a position adjustable projection lens 23 is used to project the image formed at this plane onto the screen. No diffuser plates are needed at the intermediate image planes (although they can be used if necessity dictates), and their use is undesirable since they add greatly to the required illumination levels. The first and second holograms, 20 and 21, are shown in the figure as volume or reflection holograms. Transmission holograms can also be used, but the efficiency of transmission holograms is less than reflection holograms. Therefore, using transmission holograms would also add to the required illumination levels. The only non-holographic optical elements in the projector are either simple projection lenses or matrix lens arrays. Therefore, the holographic projector represents a far simpler system than the projector using more conventional optics.

Figure 5 illustrates how the first hologram 20 in Figure 4 can be produced. Two active optical systems are used to produce the reference and object beams necessary to expose the photographic plate to produce the reflection hologram. The first active optical system is comprised of a diffuser plate 24 and the first matrix lens array 25. When illuminated by coherent light, the diffuser plate 24 scatters the light which is still coherent, and the scattered light impinges upon the matrix lens array 25 which, in turn, produces the

reference beam 26. The second active optical system is comprised of a diffuser plate 27 and the second matrix lens array 28. When illuminated by coherent light coming from the same source as that which illuminated the first active optical system, the diffuser plate 27 scatters the light which is still coherent, and the scattered light impinges upon the matrix lens array 28 which, in turn produces the object beam 29. The reference beam 26 and the object beam 29 impinge upon opposite sides of the unexposed transparent photographic plate 30. This photographic plate, when developed and processed, becomes the first hologram 20 of Figure 4. It should be noted that, with a hologram of this type, it is possible, and it might be desirable to eliminate the second matrix lens array 19 from the projection optics of Figure 4, while producing the same result.

Figure 3 shows a optical system consisting of more than one hologram. Holograms can be used as imaging devices in the camera as well as in the projector. One of the tasks of holographic optical systems is to perform multiplexing and unmultiplexing. Multiplexing is the process of optically compressing the elemental images of an integral photograph and then scrambling their relative positions so as to enable them to fit into a small space on the image plane. In a camera, the image plane would normally contain photographic film, but the medium could be something else such as image orthocon tubes. Unmultiplexing is the reverse process of expansion and unscrambling the images from the multiplexed image plane and projecting it onto a second image plane so that the image becomes a readable integral photograph. Multiplexing must be performed by the camera while unmultiplexing must be performed by the projector.

Another task that can be performed by a holographic optical system is the conversion of the final 3-dimensional image from pseudoscopy to orthoscopy. A viewing audience expects to see an orthoscopic 3-dimensional image of a scene. Orthoscopy occurs normally where a first object that is supposed to be in front of a second object appears closer to the viewer. Pseudoscopy occurs where the second object appears closer to the viewer. This is an unnatural viewing condition that would be annoying to an audience. Unfortunately, the image produced using the basic principle of magnification and projection is pseudoscopic. Therefore, optics must be used to convert from pseudoscopy to orthoscopy.

In patent '562, the most practical method and the preferred embodiment of unmultiplexing is with the use of a holographic imaging device. Not only can the entire image unmultiplexing process be

accomplished in one step using such an element, but so also can both the inversion of the image from pseudoscopy to orthoscopy and the final projection (if these steps are desired to be performed using this method). The use of this method is shown in Figure 6. The magnified image from the secondary image plane 31 is projected onto a specially prepared hologram 32, using a standard projection lens 33. The hologram is so designed that when illuminated with such a reference beam, it will generate an object beam which when projected through a second projection lens 34, will image onto another plane a picture having the vertical rows arranged side-by-side horizontally 35. The hologram used here is similar to the second hologram, 21, in Figure 4. (It is highly desirable to replace the projection lenses by two matrix lens arrays as is shown in figure 3. This is also illustrated as the first hologram, 20, in Figure 4.) The method to fabricate such a hologram can be illustrated using Figure 6. Replace the secondary and unscrambled image planes (31 and 35 respectively) by diffusing screens. Apertures must be used with both reference and object beams so as to direct the location, size and shape of each corresponding row between the secondary and unscrambled image planes. This holographic imaging device is then fabricated by the same method as that which is shown in Figure 5 as previously described. (This is not to say that the holographic imaging device described here is the same as previously described and illustrated in Figure 5, but only that it is fabricated in a similar manner.) Similarly, as with the previous holographic imaging device, an aperture could be used with the photographic plate to solve the problem of emulsion reciprocity, or the short-burst method can be used.

The method of inverting a pseudoscopic image is to reconstruct the 3-dimensional image in the usual manner and then to re-photograph the reconstruction with a second camera. The reconstruction of this second film will produce a pseudoscopic image of the 3-dimensional image which was photographed. Since, this image was originally pseudoscopic, the pseudoscopic reconstruction of this image would be orthoscopic. This method of image inversion is shown in Figure 7. This technique has two major disadvantages. First, an intermediate processing step is required in which a second film must be made; second, there is an inherent resolution loss of $\sqrt{2}$ when going from one film to the other.

There is another basic method of producing orthoscopic images from pseudoscopic images which will not incur this resolution loss. This method was described in patent '562. The basic principle is quite

simple. Referring to Figure 8, if the film format shown in Figure 8 (a) produces a pseudoscopic image, then it can be shown by an optical analysis of what a second film record would look like were the 3-dimensional image from Figure 8 (a) to be photographed, that the film format of Figure 8 (b) would produce an orthoscopic mirror image of the pseudoscopic 3-dimensional image produced by the format of Figure 8 (a), while format of Figure 8 (c) will produce a correct orthoscopic image.

The method for image inversion discussed here concerns itself only with its performance in the projector. Any intermediate processing where another film must be prepared is discussed in patent '562 only. The proposed method is to perform this inversion during unmultiplexing when a holographic imaging ~~device is used (refer to Figure 3). In this case, each element would be mirror image inverted, but the order~~ of the elements could be kept in-tact holographically. In fact, the elements can be holographically arranged in any order that is desired.

Accordingly, any of the holographic optical elements described above can be fabricated in a manner so that when an integral photographic image is processed by it, the 3-dimensional image projected therefrom will be orthoscopic. This is done by optically reversing each elemental image of the integral photograph separately as shown in Figure 8. When preparing the elemental parts of the holographic imaging device, the optics for elemental image inversion must be included.

Therefore, the schematic shown in Figure 4, either including or not including the second matrix lens array 19, represents the ideal optical system for projection and magnification of integral photographs. Not only do the holograms cause projection and magnification of the integral photographs on the screen, but they also unmultiplex the unmagnified integral photograph and perform the appropriate image inversion required for ultimate viewing of the resultant 3-dimensional scene.

Now turning to the issue of image multiplexing, patent '562 describes one embodiment of the camera design that uses holographic optics to accomplish the image dissection and multiplexing. This is shown conceptually in Figure 9. In this case, reflection holograms are used because of their high diffraction efficiency (95-100%), although the process would work conceptually even with transmission holograms. (The diagrams, however, are shown using reflection holograms.) This process involves the transfer of images from one holographic plane to another plane with 1:1 magnification. (Several methods exist to

provide abberation free magnification using holography, should this be desirable.) In the figure, the image 36 is projected through the camera matrix lens array 37 or otherwise focused onto hologram plane 38 which, in turn, projects the appropriate multiplexed frame onto the film, 39, using intermediate holographic planes (shown symbolically as planes 40) if necessary. These intermediate planes serve the purpose of allowing the image to impinge onto the film from a far less severe angle, thereby decreasing the abberations. But, these intermediate planes may not be necessary. Figure 10 shows conceptually how such a holographic plane can be made. For clarity, multiplexing will be accomplished, in this figure, for only two rows. The image on the left with two rows, 41 and 42, arranged horizontally is projected using lens 43 onto hologram 44. This projected image acts as a reference beam for the hologram, therefore, reconstructing an object beam which focuses an image in space 45, consisting of rows 41 and 42 arranged vertically. The hologram is prepared by using two moving apertures. The hologram is prepared using each elemental image of the primary integral photograph as the reference beam and the corresponding elemental image of the secondary integral photograph as the object beam and by exposing the photographic plate with both reference and object beams on opposite sides. The apertures then move to each pair of elemental images in turn, with the hologram being re-exposed each time. It could be desirable to use a third moving aperture and fourth moving aperture positioned adjacent to but on opposite sides of the photographic plate. Furthermore, it could be desirable to use coherent light from a short burst laser to expose the photographic plate so as to reduce noise.

The preferred embodiment of the screen is an array of cylindrical zone plates with associated color filtration. Zone plates can be produced holographically. However, instead of being produced as transmission holograms, they are produced as reflection holograms. Reflection holograms are commonly manufactured by a process called Bragg-Angle Holography. In this instance, instead of the diffraction pattern being formed on the surface of the photographic emulsion which makes up the hologram, the diffraction pattern is formed in the volume of the emulsion itself. Such a holographic zone plate would have the following advantages:

(1) Since it is formed as a reflection hologram, this type of screen is applicable to front projection, the technique now in use in most theaters.

(2) A reflection holographic screen accepts white light emanating from a point source and reflects it into the audience at the wavelength with which the hologram was initially made. Since the zone plate screen consists of a mosaic of alternating zone plates, each one produced as a hologram by laser light having a different wavelength, it becomes obvious that a holographic screen of this type already has its own color plate "built-in". Separate color filters are not required.

The screen is a Bragg Angle Reflection Hologram, which when illuminated from the front with a beam of white light having a spherical wavefront, the reconstruction will be a series of thin vertical lines, each line a different color, the colors alternating between red, green and blue, each line projected in front of the screen a distance f, and the vertical lines will be arranged horizontally across the width of the screen. A Bragg Angle Hologram is really a diffraction grating whose diffracting elements are distributed throughout the volume of the emulsion. A reconstruction can only be obtained by a reference beam of the same wavelength as was used to make the hologram. For this wavelength, the reconstruction efficiency is extremely high. If a white light reference beam should be used, only the appropriate color component will be selected to perform the reconstruction.

Figure 11 (a) shows the fabrication of a reflection hologram with monochromatic light. The reference beam is a spherical wavefront and the reconstruction is a real image of a single vertical line projected in front of the hologram. The object beam is created by passing a laser beam 46 through a cylindrical lens 47 which focuses through a slit 48 positioned at a distance f from the photographic plate 49. The reference beam is produced as a spherical wavefront from the same laser 46, and is made to impinge upon the opposite side of the photographic plate 49. This operation can be performed separately for each wavelength needed, or the hologram can be fabricated as shown in Figure 11 (b). A white light, or multi-wavelength laser 50, such as a krypton laser, is used. The complete beam having all color components is used as the reference beam 54. The laser beam is split in two using a beam splitter 51 into two components 52 and 53. Beam 52 ultimately becomes the reference beam 54 after passing the optical components (mirrors M_1, M_2 and M_3, and concave lens L_1 and circular aperture S_1). Beam 53 ultimately becomes the object beams. First, the color components are separated by a prism 55. The unwanted wavelength components are removed by mirrors M_0 and M_3 leaving only the three red 56, green 57 and blue 58 object

beams to be used to create the hologram. (Of course, colors other than red, green and blue can be used as long as they are complementary colors which are used to form white.) Thus far only three zone plates have been created on the photographic plate 59. The photographic plate 59 is then moved, and a new section is exposed in exactly the same manner. The method of reconstruction is shown in Figure 12. A white light reference beam with a spherical wavefront is used to reconstruct alternating red, green and blue cylindrical wavefronts. Should the reference beam emanate from a projector in the rear of the theater with the image of an integral photograph impressed on the beam such that the image of the integral photograph is focused onto the screen, then a 3-dimensional image will be reconstructed from the integral photograph. In this case, a color filter is not required, as the image will be properly broken down into the appropriate color pattern, and black & white film must be used.

The screen need not be prepared as an extremely large hologram, as this would be impractical. Even in a very small theater, the screen size might be 20 feet wide × 10 feet high. The mechanics of producing a hologram that large is formidable. Instead, smaller rectangular shaped tiles can be produced which are all identical. These tiles can then be assembled to produce a screen of any size.

Now we turn to the fabrication of high quality holographic imaging optics. With any ordinary optical system, when projecting a 2-dimensional image, the projected image is normally degraded with respect to the original image. This is true even at 1:1 magnification. The reason for this is that most optical systems exhibit inherent abberation and distortion. However, it is often required that a projected image have extremely high quality with minimum abberation and distortion. To accomplish this, special high quality optical systems must be used. Often such optics do not exist, and must be specially designed and fabricated. Obtaining such optics can be very expensive.

Patent '562 discloses the requirement that projected images must be of extremely high quality, particularly during intermediate processing and intermediate projection. A special case of this intermediate projection is when it is performed at no magnification. This is very useful in certain of the final projection systems discussed in patent '562. What is required is that an image be transferred from one image plane to another at 1:1 magnification with the resolution preserved, *i.e.*, the total information must be transferred from one image to the other. Such an imaging system is typically used for a microprojector and

semiconductor circuits. One such system was designed by PERKIN-ELMER several years ago. This optical system uses mirrors instead of lenses. It covers a field of two-inches. Resolution was one-micron or 500 line pairs/mm. Of course such an optical system could be constructed using lenses, but it would be more complex and very much more expensive.

Holographic optics can be used to accomplish this type of high quality image transfer or projection. Reflection holography should definitely be used since the diffraction efficiency is much higher than for transmission holography. Figure 13 shows how a non-permanent image can be projected using the principle of primary holographic projection. The 2-dimensional image from the film 60 is projected onto a reflection hologram 61 using a 1:1 imaging optical system 62. The image is then focused onto a secondary image plane 63. In this case, a specially designed abberation free lens 64 is used in conjunction with the hologram for projection. Since this expensive lens must be used during normal projection of the film, this method is not very practical. However, since a hologram is an imaging device itself, the hologram can be used as a high quality lens.

Figure 14 shows one method of fabricating such a hologram. The film 60 of Figure 13 is replaced by a translucent diffusing screen, and another translucent diffusing screen is made to coincide with the secondary image plane 63 of Figure 13. In this case the photographic plate is totally reflective on the side opposite from the emulsion. Both diffusing screens are trans-illuminated by the same laser and the hologram is exposed. The reference beam passes through the standard lens while the object beam passes through the high quality lens. Of course, this can also be accomplished by eliminating the reflective coating on the reverse side of the photographic plate by causing the object beam to impinge upon the reverse side of the plate. However, the efficiency of the reflective method is considerably higher.

Figure 15 illustrates how such a hologram would be used. A standard projection lens 65 images the film frame 66 onto the specially prepared hologram 67, which, in turn, acts as a reflecting lens to image the film frame onto the secondary image plane 68 at some greater magnification. This hologram is a high quality Leith Hologram, and is indicated operating as a reflection hologram because the diffraction efficiency is much higher for reflection than for transmission.

The discussion now proceeds to holography of a 2-dimensional integral photographic film. In this method a holographic movie film is used. However, the projected real image of the hologram is a 2-dimensional image which is projected onto a diffusing screen (or imaginary image plane). This image is the integral photograph to be projected. This process is illustrated in Figure 16. Since the initial photograph that will be taken by the camera is an integral photograph, a hologram can be taken of each frame of the integral photographic film, and the reconstructed image will, therefore, be the integral photograph. Referring to Figure 16, to construct the hologram 69, a laser beam 70 passing through a standard projection lens 71 serves as the reference beam. The integral photographic frame is projected using the same laser beam onto diffusing screen 73 which produces the object beam 74. The combination of reference beam 72 and object beam 74 produces the hologram. To reverse the process for projection, light impinges upon projection lens 71 and then upon the holographic film frame 69. This reconstructs object beam 74 that produces a focused image of the integral photograph on diffusing screen 73. This method contrasts with that of direct holography where holograms are taken of the scene directly.

In 1968, Dr. D. J. DeBitetto of Phillips Laboratories, Briarcliff Manor, NY, published several articles concerning holographic 3-dimensional movies with constant velocity film transport. In these articles, he described holograms produced which allowed bandwidth reduction by elimination of vertical parallax. This was accomplished by making the 3-dimensional holograms on a film strip using a horizontal slit as an aperture. The frames were formed by advancing the film each time by the width of the slit. Each frame was animated. After development, the film was illuminated as any hologram would be, and the filmstrip was moved at constant velocity. I have seen Dr. DeBitetto's holographic movies, and they are the best attempts to-date in the field of motion picture holography. The 3-dimensional pictures are of extremely high quality. However, vertical parallax was absent.

The same technique can be used in our projector. It can be used with direct holography as Dr. DeBitetto did or it can be used with holograms of integral photographs as shown in Figure 17. In this figure, and by this technique, a horizontal strip hologram 75 is taken of each integral photographic frame 76 (in any format, multiplexed or unmultiplexed), and the holographic film strip is advanced for each frame. This is done by projecting the integral photographic frame 76 onto a diffuser plate 77 using coherent

illumination from a multicolor laser 78 (*e.g.*, a white light krypton laser). This becomes the object beam necessary to produce the hologram. It is possible to take several strip holograms of the same frame. Afterwards, the holographic film 79 is played back in the projector at constant velocity.

Dr. DeBitetto takes his holograms as strip holograms in that both the holography and projection must be performed with the slit aperture. This requires the holography of a very large number of small strip frames, the animation of each frame showing only slight or minuscule motion with respect to the previous frame. This is contrasted with the method of taking holographic movies where each frame has a reasonable size both in height and in width (as would be expected in a standard format motion picture film). Obviously, Dr. DeBitetto's technique has the disadvantage of requiring an extremely large number of frames, thus making the process very arduous. However, this patent application submits that the frames be prepared in the standard motion picture format (as opposed to horizontal strip holograms), and that the frame be projected with a horizontal slit aperture. The film is used in the same way as in Dr. DeBitetto's process, and is projected at constant velocity. The image projected from the hologram onto the screen will only change in vertical parallax as the frame moves by the aperture. However if the film format used is that previously described for holography of the original 2-dimensional integral photographic film, then the vertical parallax does not change as the frame moves by, because the projected image is 2-dimensional and has no vertical (nor horizontal) parallax. The image only changes, therefore, when a new frame comes into view. Therefore, the height of the frame required for the holographic film will depend upon the film velocity and the frame rate. This represents the preferred embodiment for the holographic projector.

Constant velocity is a tremendous advantage for projection of 3-dimensional movies. Since film registration must be held to extremely tight tolerances, not having to stop the film for each frame would provide much needed stability, and film registration would be far simpler. Without this constant velocity transport, each frame would have to be registered with the three-point registration system as described in patent '562. Furthermore, constant velocity film transport reduces the probability of film breakage.

The discussion now turns to intermediate processing of the film. In the previous discussions of the formation of orthoscopic images from pseudoscopic images, image inversion was accomplished during the projection stage. It is considered more desirable to accomplish this operation during the projection stage

because it can be done without the inherent loss in resolution (a factor of $\sqrt{2}$) attached to a process in which a new integral photograph or hologram must be copied from the 3-dimensional projected image. Should it be desired to make a film to be presented to motion picture theaters, which, in turn, when projected, would produce orthoscopic images, then the best method of making such films from the original would be by the projection techniques previously discussed. These projection techniques can be used for film copying as well as for projection onto a screen. However, for the sake of completeness of this application, the methods for image inversion, by making a new integral photograph or hologram from the original reconstructed 3-dimensional pseudoscopic image, will be presented.

Figures 18, 19 and 20 show how to perform this inversion. Figure 18 illustrates converting from one integral photograph to another; Figure 19, from an integral photograph to a hologram; and Figure 20, from one hologram to another. Note that, in each of these setups the film upon which the new integral photograph or hologram is to be produced may be positioned anywhere with respect to the pseudoscopic image. What is important is that the original reconstructed wavefronts be used to form the new record and not the image.

ABSTRACT OF THE DISCLOSURE

Method for making a coordinated and complementary set of holograms to be used in the SYSTEM AND APPARATUS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT that is the subject of United States Patent No. 6,224,562.

#5

ORIGINAL SPECIFICATION WITH MARKED-UP CHANGES

(21 pages including this cover sheet)

ORIGINAL SPECIFICATION WITH MARKED UP CHANGES

Application for Letters Patent of the United States

Inventor:

STANLEY H. KREMEN

Title of Invention:

[METHODS OF PREPARING HOLOGRAMS]
METHOD FOR MAKING A COORDINATED AND COMPLEMENTARY SET OF HOLOGRAMS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT

To All Whom It May Concern:

The following is a specification of the aforesaid Invention:-

CROSS-REFERENCE

This application is submitted as a preliminary amendment to Application No. 09/749,984. It contains an amended specification, amended drawings, and new as well as amended claims. The specification contained herein is a substitute for the original specification, and the drawings contained herein are amended by substitution of figure and reference numbers. This non-provisional application is a continuation of Application No. 09/111,990 filed July 8, 1998, which in turn is a continuation of U.S. Provisional Application Serial No. 60/051,972 filed July 8, 1997, and claiming benefit of said application. Said application 09/111,990 converted into U.S. Patent No. 6,229,562 which was issued on May 8, 2001, and said application and said patent are hereby incorporated by reference herein.

METHODS OF PREPARING HOLOGRAMS

CROSS REFERENCE TO RELATED APPLICATION(S) CLAIM OF PRIORITY

This application is a continuation-in-part of and claims benefit of co-pending U.S. Nonprovisional application Ser. No. 09/749,984 filed Dec. 27, 2000, which in turn is a continuation of U.S. Nonprovisional application Ser. No. 09/111,990 filed Jul. 8, 1998, now issued as U.S. Pat. No. 6,229,562, which in turn claims benefit of U.S. Provisional Application Ser. No. 60/051,972 filed Jul. 8, 1997. The aforementioned patent applications and patent are hereby incorporated by reference in their entirety herein.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not applicable.

REFERENCE OF AN APPENDIX

Not applicable.

BACKGROUND

1. Field of the Invention

This invention relates to [methods of preparing]a method for making a coordinated and complementary set of holograms to be used in a SYSTEM AND APPARATUS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT.

2. Brief Description of Related Art

U.S. Patent No. 6,229,562 that is incorporated herein by reference (hereinafter referred to as "patent '562") discloses and claims a SYSTEM AND APPARATUS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT. The invention described therein derives from the principles of holography and/or integral photography. Patent '562 first discloses a basic principle of magnification and projection. This principle permits magnification and projection of 3-dimensional images uniformly in all directions, thereby overcoming drawbacks in the prior art. Based upon this principle, cameras are described, in their various embodiments, that photograph a scene and retain the 3-dimensional information therein. An editor is also described that would edit integral photographs and holograms containing the 3-dimensional information from the photographed scene. In

addition, a theater is designed to project the magnified 3-dimensional scene that was photographed, upon a large screen to be viewed by an audience. Further, the projectors and screens are described in their various embodiments.

The system described in patent '562 is an integrated optical system that produces a magnified 3-dimensional image of an original 3-dimensional scene via the principle of wavefront reconstruction. The input to this integrated optical system is the optical wavefront emanating from the original 3-dimensional scene. The output from this integrated optical system is the wavefront of the magnified 3-dimensional image. The integrated optical system is comprised of two main components or active optical systems. The first component or first active optical system accepts the optical wavefront from the original scene as input, transforms said input into a plurality of 2-dimensional elemental images arranged as a 2-dimensional matrix. The output of the first component or first active optical system is a magnified 2-dimensional image of said 2-dimensional matrix. The output of the first component or first active optical system becomes the input to the second component or second active optical system. This second component or second active optical system then transforms said magnified 2-dimensional input image and reconstructs a magnified 3-dimensional image as its output. The optical wavefront emanating as output from said second component or second active optical system is the same as though it would have emanated from the magnified 3-dimensional scene were said magnified 3-dimensional scene to physically exist. The first active optical system may or may not produce a permanent or semi-permanent recording of the original 3-dimensional scene. Patent '562 further divides said first and second components into sub-components, and, in some embodiments, the sub-components thereof are further divided into sub-components. Among the sub-components of said first component, patent '562 refers to one sub-component as a "camera" and to another sub-component as a "projector." Furthermore, patent '562 often refers to said second component as a "screen."

Within some of the embodiments of the camera, [and]projector, and screen, specially prepared holograms are used as optical elements therein. In these embodiments, some or all of said elements may be holograms. Use of these holograms affords the advantage of being able to replace complex, bulky, difficult to manufacture, and expensive conventional optical elements needed to produce certain types of images

during photography, magnification, and projection. In addition, some of the embodiments of the screen are themselves holograms. Unlike conventional projection screens used in current theaters, the screen described in patent '562 is an active optical element that, when combined with the projection optics, causes light waves to emanate from the screen into the theater that are the same as though the 3-dimensional scene were real. Therefore, the viewing audience should not be able to perform any visual test to determine whether or not the projected 3-dimensional scene truly exists. The use of a specially developed holographic screen affords the advantage of replacing more conventional optical components used in screen fabrication.

In view of the above, it is therefore an object of the invention to provide [methods]a method of preparing the [various]coordinated and complementary set of holograms [used as]comprising the optical elements in the camera, projector, and screen embodiments described in patent '562. Various embodiments of said method are presented herein.

SUMMARY OF THE INVENTION

The object of the invention as well as other objects which shall be hereinafter apparent are achieved by the [METHODS OF PREPARING HOLOGRAMS]METHOD FOR MAKING A COORDINATED AND COMPLEMENTARY SET OF HOLOGRAMS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT comprising [methods]embodiments of a method of producing the various holographic optical elements specified and claimed in patent '562.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be better understood by the Detailed Description of the Preferred and Alternate Embodiments with reference to the drawings, in which:

Figure 1 illustrates the method of magnification that is the basis for both this application and patent '562.

Figure 2 illustrates how a magnified image can be projected before an audience.

Figure 3 is a schematic of primary holographic projection using two matrix lens arrays.

Figure 4 is a schematic showing the optics of the preferred embodiment of the holographic projector.

Figure 5 illustrates how HOLOGRAM #1 in Figure 4 can be prepared.

Figure 6 illustrates how HOLOGRAM #2 in Figure 4 can be prepared.

Figure 7 is a schematic showing the standard method of image inversion.

Figure 8 shows how image inversion can be accomplished without loss of resolution.

Figure 9 is a schematic of holographic multiplexing optics.

Figure 10 is a schematic showing the method of holographic multiplexing using the optics shown in Figure 9.

Figure 11 shows the process for formation or manufacture of the front projection holographic screen.

Figure 12 shows the method of reconstruction from projection onto the front projection holographic screen.

Figure 13 is a schematic of a primary holographic imaging system using high quality optics.

Figure 14 shows the method of fabricating a high quality holographic imaging system.

Figure 15 shows how the holographic imaging system of produced using the method of Figure 14 can be used for projection of high quality images.

Figure 16 shows the use of a hologram whose reconstructed real image is a 2-dimensional integral photograph.

Figure 17 shows a method of preparing strip holograms.

Figure 18 shows image inversion from pseudoscopy to orthoscopy using integral photography.

Figure 19 shows image inversion from pseudoscopy to orthoscopy using holography and integral photography.

Figure 20 shows image inversion from pseudoscopy to orthoscopy using holography.

DETAILED DESCRIPTION OF THE PREFERRED AND ALTERNATE EMBODIMENTS

The present invention, in all its embodiments, is based upon a method that permits magnification of a 3-dimensional image produced from a photograph, hologram, optical system or other system or device, regardless of the medium or the method, in such manner as to preserve the depth to height and width relationship of the image as it existed prior to magnification. This method requires the 3-dimensional image prior to magnification to be rendered as an array of 2-dimensional images by some form of matrix lens array, such as a fly's eye lens. Were this array of 2-dimensional images to be magnified by some magnification factor, and then viewed or projected through a new matrix lens array that has been scaled up from the lens array that produced the original array of 2-dimensional images, such that the scaling factor is equal to the magnification (*i.e.,* the focal length and diameter of each lenslet must be multiplied by the same magnification factor), a new 3-dimensional image would be produced that would be magnified by the same magnification factor, and all image dimensions would be magnified by the same factor such that all dimensions of the final 3-dimensional image would be proportional to the dimensions of the original image. The utility of magnifying 3-dimensional images using this method would be the ability to enlarge holograms or integral photographs or other media from which 3-dimensional images are produced, or to project still or moving 3-dimensional images before a large audience.

The magnification principle is illustrated in Figure 1. Object 1 is photographed by matrix lens array 2, thereby producing integral photograph 3. Integral photograph 3 is then magnified to give integral photograph 4 which is then placed behind matrix lens array 5. This combination yields magnified image 6. It must be noted here, that during scaling-up, the *(F/#)* of the lenslets remains constant.

Projection is merely another form of magnification. The only difference lies in the fact that no permanent record is produced as in photography. To illustrate the principle of projection, let us use as an example, the technique of rear projection shown in Figure 2. (As will be seen later, it is also possible to illustrate this principle with front projection.) Were an integral photographic transparency to be projected at some given magnification onto a translucent screen 7 which is behind a large matrix lens array 8, an observer 9 in the audience sitting in front of the matrix lens array will see the magnified 3-dimensional

image 10. The 3-dimensional image can be made orthoscopic, and can be made to appear either in front of or behind the matrix lens array.

The camera consists of an optical system that would produce the 2-dimensional array of 2-dimensional images on a plane, the plane and/or recording medium whereon the 2-dimensional array is produced, the mechanical apparatus (if any) associated with the image plane and/or recording medium, a means (if any) for adjusting the optical system for focus and/or special effects, and the housing (if any) that integrates the optical system, the mechanical system and the image plane and/or recording medium into a single unit. An example of the optical system is a matrix lens array such as a fly's eye lens arranged so as to produce a rectangular matrix array of rectangular 2-dimensional images. The image plane, for example, would contain a film for recording the 2-dimensional images. Once developed, the matrix array photograph would be called an integral photograph. If the camera is a motion picture camera capable of capturing moving 3-dimensional images in the form of a sequential series of integral photographs, a film motion and film stabilization mechanism would be required. Finally, such a camera might require a housing to integrate the components and to provide a dark environment so as to not expose the film unnecessarily.

The projector consists of an optical system that would project a magnified image of the processed 2-dimensional integral photograph produced by the camera onto an image plane that would be converted by the screen into a magnified 3-dimensional image, the mechanical apparatus (if any) associated with the image plane and/or recording medium, a means (if any) for adjusting the optical system for focus and/or special effects, and the housing (if any) that integrates the optical system, the mechanical system and the image plane and/or recording medium into a single unit. If the projector is a motion picture projector capable of magnifying moving 3-dimensional images in the form of a sequential series of integral photographs, a film motion and film stabilization mechanism would be required. Finally, such a projector might require a housing to integrate the components and a projection lamp.

The screen consists of an active optical system configured as a matrix lens array comprised of a plurality of optical elements. The screen has the same number of active optical elements as the matrix lens array used in the camera and configured identically as in the camera. In the preferred embodiment of the system, the matrix lens array of the screen is larger than that of the camera such that the ratio of the

diameter of the screen lenslets to the diameter of the camera lenslets is equal to the image magnification. However, the *(F/#)* of the lenslets in the screen matrix lens array must be equal to the *(F/#)* of the lenslets in the camera matrix lens array. Finally, the screen might consist of a mechanism to filter the color of certain portions of the projected image in order to produce a color rendition of a scene projected upon it in black-and-white.

Patent '562 describes a number of methods for projecting the photographed scene residing on a 2-dimensional integral photograph or hologram onto a large screen thereby creating a magnified 3-dimensional image of the scene. Many of these utilize complex systems comprised of conventional optics. Conventional optical systems such as those described in patent '562 are expensive to manufacture, and the images produced therefrom are subject to abberation and distortion. By contrast, holographic imaging devices are inexpensive to manufacture, and images produced from them are generally abberation and distortion free. One method of accomplishing projection using a holographic imaging device is shown in Figure 3. This is the preferred embodiment of the projection system. In this case, instead of using expensive projection lenses, two matrix lens arrays, 11 and 12, are used as shown. On the secondary image plane 14, the image is magnified by the desired amount, and the ratio of the size of the elements of matrix lens array 12 to matrix lens array 11 is equal to the magnification. The hologram is prepared as follows. In the setup shown in Figure 3, replace both the film 13 and the secondary image plane 14 by two diffuser plates. Between the film plane diffuser plate and matrix lens array 11, place a movable aperture which is the size of one element on the film frame 13, and between the secondary image plane and matrix lens array 12, place a similar movable aperture which is the size of a magnified element on the secondary image plane 14. A high resolution photographic plate is positioned in the hologram plane 15. The film plane aperture is placed in front of the first elemental position and the secondary image plane aperture is placed in the corresponding first elemental position. Both diffuser plates, 13 and 14, are then trans-illuminated by an appropriate laser for a sufficient time to expose the hologram 15. (This may have to be done for each element by exposing it with many bursts of low intensity laser radiation.) Both apertures are then moved to the second elemental positions and the hologram is exposed again; and so-on for every elemental position. Another method of preparing the same hologram is to also place an appropriate elemental aperture in front

of the hologram plane 15. This elemental aperture moves to a different position in front of the hologram plane every time the other two apertures move. The addition of this third aperture will avoid reciprocity problems with the photographic emulsion. (Reciprocity problems will also be avoided by the short-burst method mentioned above. The advantage of the short-burst method over the third aperture method is that crosstalk between elements is avoided.) This method of projection using holographic imaging seems to be the most practical embodiment of the projection principle.

Holographic imaging devices can be used with more-or-less standard, inexpensive lenses to accomplish all projection functions. Figure 4 shows the final schematic configuration of this type of projector. ~~This represents the preferred embodiment of the optics of the holographic projector.~~ The image on the film 16 is first magnified onto a secondary image plane 17 holographically using two matrix lens arrays, 18 and 19, and the first hologram 20. This magnified image is then used as the reference beam for the second hologram 21 so as to reconstruct a magnified, unmultiplexed, inverted image on the unscrambled image plane 22. This unscrambled image plane can either be an intermediate plane or the screen itself. In the configuration shown, it is an intermediate plane, and a position adjustable projection lens 23 is used to project the image formed at this plane onto the screen. No diffuser plates are needed at the intermediate image planes (although they can be used if necessity dictates), and their use is undesirable since they add greatly to the required illumination levels. The first and second holograms, 20 and 21, are shown in the figure as volume or reflection holograms. Transmission holograms can also be used, but the efficiency of transmission holograms is less than reflection holograms. Therefore, using transmission holograms would also add to the required illumination levels. The only non-holographic optical elements in the projector are either simple projection lenses or matrix lens arrays. Therefore, the holographic projector represents a far simpler system than the projector using more conventional optics.

Figure 5 illustrates how the first hologram 20 in Figure 4 can be produced. Two active optical systems are used to produce the reference and object beams necessary to expose the photographic plate to produce the reflection hologram. The first active optical system is comprised of a diffuser plate 24 and the first matrix lens array 25. When illuminated by coherent light, the diffuser plate 24 scatters the light which is still coherent, and the scattered light impinges upon the matrix lens array 25 which, in turn, produces the

reference beam 26. The second active optical system is comprised of a diffuser plate 27 and the second matrix lens array 28. When illuminated by coherent light coming from the same source as that which illuminated the first active optical system, the diffuser plate 27 scatters the light which is still coherent, and the scattered light impinges upon the matrix lens array 28 which, in turn produces the object beam 29. The reference beam 26 and the object beam 29 impinge upon opposite sides of the unexposed transparent photographic plate 30. This photographic plate, when developed and processed, becomes the first hologram 20 of Figure 4. It should be noted that, with a hologram of this type, it is possible, and it might be desirable to eliminate the second matrix lens array 19 from the projection optics of Figure 4, while ~~producing the same result.~~

Figure 3 shows a optical system consisting of more than one hologram. Holograms can be used as imaging devices in the camera as well as in the projector. One of the tasks of holographic optical systems is to perform multiplexing and unmultiplexing. Multiplexing is the process of optically compressing the elemental images of an integral photograph and then scrambling their relative positions so as to enable them to fit into a small space on the image plane. In a camera, the image plane would normally contain photographic film, but the medium could be something else such as image orthocon tubes. Unmultiplexing is the reverse process of expansion and unscrambling the images from the multiplexed image plane and projecting it onto a second image plane so that the image becomes a readable integral photograph. Multiplexing must be performed by the camera while unmultiplexing must be performed by the projector.

Another task that can be performed by a holographic optical system is the conversion of the final 3-dimensional image from pseudoscopy to orthoscopy. A viewing audience expects to see an orthoscopic 3-dimensional image of a scene. Orthoscopy occurs normally where a first object that is supposed to be in front of a second object appears closer to the viewer. Pseudoscopy occurs where the second object appears closer to the viewer. This is an unnatural viewing condition that would be annoying to an audience. Unfortunately, the image produced using the basic principle of magnification and projection is pseudoscopic. Therefore, optics must be used to convert from pseudoscopy to orthoscopy.

In patent '562, the most practical method and the preferred embodiment of unmultiplexing is with the use of a holographic imaging device. Not only can the entire image unmultiplexing process be

accomplished in one step using such an element, but so also can both the inversion of the image from pseudoscopy to orthoscopy and the final projection (if these steps are desired to be performed using this method). The use of this method is shown in Figure 6. The magnified image from the secondary image plane 31 is projected onto a specially prepared hologram 32, using a standard projection lens 33. The hologram is so designed that when illuminated with such a reference beam, it will generate an object beam which when projected through a second projection lens 34, will image onto another plane a picture having the vertical rows arranged side-by-side horizontally 35. The hologram used here is similar to the second hologram, 21, in Figure 4. (It is highly desirable to replace the projection lenses by two matrix lens arrays as is shown in figure 3. This is also illustrated as the first hologram, 20, in Figure 4.) The method to fabricate such a hologram can be illustrated using Figure 6. Replace the secondary and unscrambled image planes (31 and 35 respectively) by diffusing screens. Apertures must be used with both reference and object beams so as to direct the location, size and shape of each corresponding row between the secondary and unscrambled image planes. This holographic imaging device is then fabricated by the same method as that which is shown in Figure 5 as previously described. (This is not to say that the holographic imaging device described here is the same as previously described and illustrated in Figure 5, but only that it is fabricated in a similar manner.) Similarly, as with the previous holographic imaging device, an aperture could be used with the photographic plate to solve the problem of emulsion reciprocity, or the short-burst method can be used.

The method of inverting a pseudoscopic image is to reconstruct the 3-dimensional image in the usual manner and then to re-photograph the reconstruction with a second camera. The reconstruction of this second film will produce a pseudoscopic image of the 3-dimensional image which was photographed. Since, this image was originally pseudoscopic, the pseudoscopic reconstruction of this image would be orthoscopic. This method of image inversion is shown in Figure 7. This technique has two major disadvantages. First, an intermediate processing step is required in which a second film must be made; second, there is an inherent resolution loss of $\sqrt{2}$ when going from one film to the other.

There is another basic method of producing orthoscopic images from pseudoscopic images which will not incur this resolution loss. This method was described in patent '562. The basic principle is quite

simple. Referring to Figure 8, if the film format shown in Figure 8 (a) produces a pseudoscopic image, then it can be shown by an optical analysis of what a second film record would look like were the 3-dimensional image from Figure 8 (a) to be photographed, that the film format of Figure 8 (b) would produce an orthoscopic mirror image of the pseudoscopic 3-dimensional image produced by the format of Figure 8 (a), while format of Figure 8 (c) will produce a correct orthoscopic image.

The method for image inversion discussed here concerns itself only with its performance in the projector. Any intermediate processing where another film must be prepared is discussed in patent '562 only. The proposed method is to perform this inversion during unmultiplexing when a holographic imaging device is used (refer to Figure 3). In this case, each element would be mirror image inverted, but the order of the elements could be kept in-tact holographically. In fact, the elements can be holographically arranged in any order that is desired.

Accordingly, any of the holographic optical elements described above can be fabricated in a manner so that when an integral photographic image is processed by it, the 3-dimensional image projected therefrom will be orthoscopic. This is done by optically reversing each elemental image of the integral photograph separately as shown in Figure 8. When preparing the elemental parts of the holographic imaging device, the optics for elemental image inversion must be included.

Therefore, the schematic shown in Figure 4, either including or not including the second matrix lens array 19, represents the ideal optical system for projection and magnification of integral photographs. Not only do the holograms cause projection and magnification of the integral photographs on the screen, but they also unmultiplex the unmagnified integral photograph and perform the appropriate image inversion required for ultimate viewing of the resultant 3-dimensional scene.

Now turning to the issue of image multiplexing, patent '562 describes one embodiment of the camera design that uses holographic optics to accomplish the image dissection and multiplexing. This is shown conceptually in Figure 9. In this case, reflection holograms are used because of their high diffraction efficiency (95-100%), although the process would work conceptually even with transmission holograms. (The diagrams, however, are shown using reflection holograms.) This process involves the transfer of images from one holographic plane to another plane with 1:1 magnification. (Several methods exist to

provide abberation free magnification using holography, should this be desirable.) In the figure, the image 36 is projected through the camera matrix lens array 37 or otherwise focused onto hologram plane 38 which, in turn, projects the appropriate multiplexed frame onto the film, 39, using intermediate holographic planes (shown symbolically as planes 40) if necessary. These intermediate planes serve the purpose of allowing the image to impinge onto the film from a far less severe angle, thereby decreasing the abberations. But, these intermediate planes may not be necessary. Figure 10 shows conceptually how such a holographic plane can be made. For clarity, multiplexing will be accomplished, in this figure, for only two rows. The image on the left with two rows, 41 and 42, arranged horizontally is projected using lens 43 onto hologram 44. This projected image acts as a reference beam for the hologram, therefore, reconstructing an object beam which focuses an image in space 45, consisting of rows 41 and 42 arranged vertically. The hologram is prepared by using two moving apertures. The hologram is prepared using each elemental image of the primary integral photograph as the reference beam and the corresponding elemental image of the secondary integral photograph as the object beam and by exposing the photographic plate with both reference and object beams on opposite sides. The apertures then move to each pair of elemental images in turn, with the hologram being re-exposed each time. It could be desirable to use a third moving aperture and fourth moving aperture positioned adjacent to but on opposite sides of the photographic plate. Furthermore, it could be desirable to use coherent light from a short burst laser to expose the photographic plate so as to reduce noise.

The preferred embodiment of the screen is an array of cylindrical zone plates with associated color filtration. Zone plates can be produced holographically. However, instead of being produced as transmission holograms, they are produced as reflection holograms. Reflection holograms are commonly manufactured by a process called Bragg-Angle Holography. In this instance, instead of the diffraction pattern being formed on the surface of the photographic emulsion which makes up the hologram, the diffraction pattern is formed in the volume of the emulsion itself. Such a holographic zone plate would have the following advantages:

(1) Since it is formed as a reflection hologram, this type of screen is applicable to front projection, the technique now in use in most theaters.

(2) A reflection holographic screen accepts white light emanating from a point source and reflects it into the audience at the wavelength with which the hologram was initially made. Since the zone plate screen consists of a mosaic of alternating zone plates, each one produced as a hologram by laser light having a different wavelength, it becomes obvious that a holographic screen of this type already has its own color plate "built-in". Separate color filters are not required.

The screen is a Bragg Angle Reflection Hologram, which when illuminated from the front with a beam of white light having a spherical wavefront, the reconstruction will be a series of thin vertical lines, each line a different color, the colors alternating between red, green and blue, each line projected in front of the screen a distance f, and the vertical lines will be arranged horizontally across the width of the screen. A Bragg Angle Hologram is really a diffraction grating whose diffracting elements are distributed throughout the volume of the emulsion. A reconstruction can only be obtained by a reference beam of the same wavelength as was used to make the hologram. For this wavelength, the reconstruction efficiency is extremely high. If a white light reference beam should be used, only the appropriate color component will be selected to perform the reconstruction.

Figure 11 (a) shows the fabrication of a reflection hologram with monochromatic light. The reference beam is a spherical wavefront and the reconstruction is a real image of a single vertical line projected in front of the hologram. The object beam is created by passing a laser beam 46 through a cylindrical lens 47 which focuses through a slit 48 positioned at a distance f from the photographic plate 49. The reference beam is produced as a spherical wavefront from the same laser 46, and is made to impinge upon the opposite side of the photographic plate 49. This operation can be performed separately for each wavelength needed, or the hologram can be fabricated as shown in Figure 11 (b). A white light, or multi-wavelength laser 50, such as a krypton laser, is used. The complete beam having all color components is used as the reference beam 54. The laser beam is split in two using a beam splitter 51 into two components 52 and 53. Beam 52 ultimately becomes the reference beam 54 after passing the optical components (mirrors M_1, M_2 and M_3, and concave lens L_1 and circular aperture S_1). Beam 53 ultimately becomes the object beams. First, the color components are separated by a prism 55. The unwanted wavelength components are removed by mirrors M_0 and M_3 leaving only the three red 56, green 57 and blue 58 object

beams to be used to create the hologram. (Of course, colors other than red, green and blue can be used as long as they are complementary colors which are used to form white.) Thus far only three zone plates have been created on the photographic plate 59. The photographic plate 59 is then moved, and a new section is exposed in exactly the same manner. The method of reconstruction is shown in Figure 12. A white light reference beam with a spherical wavefront is used to reconstruct alternating red, green and blue cylindrical wavefronts. Should the reference beam emanate from a projector in the rear of the theater with the image of an integral photograph impressed on the beam such that the image of the integral photograph is focused onto the screen, then a 3-dimensional image will be reconstructed from the integral photograph. In this case, a color filter is not required, as the image will be properly broken down into the appropriate color pattern, and black & white film must be used.

The screen need not be prepared as an extremely large hologram, as this would be impractical. Even in a very small theater, the screen size might be 20 feet wide × 10 feet high. The mechanics of producing a hologram that large is formidable. Instead, smaller rectangular shaped tiles can be produced which are all identical. These tiles can then be assembled to produce a screen of any size.

Now we turn to the fabrication of high quality holographic imaging optics. With any ordinary optical system, when projecting a 2-dimensional image, the projected image is normally degraded with respect to the original image. This is true even at 1:1 magnification. The reason for this is that most optical systems exhibit inherent abberation and distortion. However, it is often required that a projected image have extremely high quality with minimum abberation and distortion. To accomplish this, special high quality optical systems must be used. Often such optics do not exist, and must be specially designed and fabricated. Obtaining such optics can be very expensive.

Patent '562 discloses the requirement that projected images must be of extremely high quality, particularly during intermediate processing and intermediate projection. A special case of this intermediate projection is when it is performed at no magnification. This is very useful in certain of the final projection systems discussed in patent '562. What is required is that an image be transferred from one image plane to another at 1:1 magnification with the resolution preserved, *i.e.,* the total information must be transferred from one image to the other. Such an imaging system is typically used for a microprojector and

semiconductor circuits. One such system was designed by PERKIN-ELMER several years ago. This optical system uses mirrors instead of lenses. It covers a field of two-inches. Resolution was one-micron or 500 line pairs/mm. Of course such an optical system could be constructed using lenses, but it would be more complex and very much more expensive.

Holographic optics can be used to accomplish this type of high quality image transfer or projection. Reflection holography should definitely be used since the diffraction efficiency is much higher than for transmission holography. Figure 13 shows how a non-permanent image can be projected using the principle of primary holographic projection. The 2-dimensional image from the film 60 is projected onto a reflection hologram 61 using a 1:1 imaging optical system 62. The image is then focused onto a secondary image plane 63. In this case, a specially designed abberation free lens 64 is used in conjunction with the hologram for projection. Since this expensive lens must be used during normal projection of the film, this method is not very practical. However, since a hologram is an imaging device itself, the hologram can be used as a high quality lens.

Figure 14 shows one method of fabricating such a hologram. The film 60 of Figure 13 is replaced by a translucent diffusing screen, and another translucent diffusing screen is made to coincide with the secondary image plane 63 of Figure 13. In this case the photographic plate is totally reflective on the side opposite from the emulsion. Both diffusing screens are trans-illuminated by the same laser and the hologram is exposed. The reference beam passes through the standard lens while the object beam passes through the high quality lens. Of course, this can also be accomplished by eliminating the reflective coating on the reverse side of the photographic plate by causing the object beam to impinge upon the reverse side of the plate. However, the efficiency of the reflective method is considerably higher.

Figure 15 illustrates how such a hologram would be used. A standard projection lens 65 images the film frame 66 onto the specially prepared hologram 67, which, in turn, acts as a reflecting lens to image the film frame onto the secondary image plane 68 at some greater magnification. This hologram is a high quality Leith Hologram, and is indicated operating as a reflection hologram because the diffraction efficiency is much higher for reflection than for transmission.

The discussion now proceeds to holography of a 2-dimensional integral photographic film. In this method a holographic movie film is used. However, the projected real image of the hologram is a 2-dimensional image which is projected onto a diffusing screen (or imaginary image plane). This image is the integral photograph to be projected. This process is illustrated in Figure 16. Since the initial photograph that will be taken by the camera is an integral photograph, a hologram can be taken of each frame of the integral photographic film, and the reconstructed image will, therefore, be the integral photograph. Referring to Figure 16, to construct the hologram 69, a laser beam 70 passing through a standard projection lens 71 serves as the reference beam. The integral photographic frame is projected using the same laser beam onto diffusing screen 73 which produces the object beam 74. The combination of reference beam 72 and object beam 74 produces the hologram. To reverse the process for projection, light impinges upon projection lens 71 and then upon the holographic film frame 69. This reconstructs object beam 74 that produces a focused image of the integral photograph on diffusing screen 73. This method contrasts with that of direct holography where holograms are taken of the scene directly.

In 1968, Dr. D. J. DeBitetto of Phillips Laboratories, Briarcliff Manor, NY, published several articles concerning holographic 3-dimensional movies with constant velocity film transport. In these articles, he described holograms produced which allowed bandwidth reduction by elimination of vertical parallax. This was accomplished by making the 3-dimensional holograms on a film strip using a horizontal slit as an aperture. The frames were formed by advancing the film each time by the width of the slit. Each frame was animated. After development, the film was illuminated as any hologram would be, and the filmstrip was moved at constant velocity. I have seen Dr. DeBitetto's holographic movies, and they are the best attempts to-date in the field of motion picture holography. The 3-dimensional pictures are of extremely high quality. However, vertical parallax was absent.

The same technique can be used in our projector. It can be used with direct holography as Dr. DeBitetto did or it can be used with holograms of integral photographs as shown in Figure 17. In this figure, and by this technique, a horizontal strip hologram 75 is taken of each integral photographic frame 76 (in any format, multiplexed or unmultiplexed), and the holographic film strip is advanced for each frame. This is done by projecting the integral photographic frame 76 onto a diffuser plate 77 using coherent

illumination from a multicolor laser 78 (*e.g.*, a white light krypton laser). This becomes the object beam necessary to produce the hologram. It is possible to take several strip holograms of the same frame. Afterwards, the holographic film 79 is played back in the projector at constant velocity.

Dr. DeBitetto takes his holograms as strip holograms in that both the holography and projection must be performed with the slit aperture. This requires the holography of a very large number of small strip frames, the animation of each frame showing only slight or minuscule motion with respect to the previous frame. This is contrasted with the method of taking holographic movies where each frame has a reasonable size both in height and in width (as would be expected in a standard format motion picture film). Obviously, Dr. DeBitetto's technique has the disadvantage of requiring an extremely large number of frames, thus making the process very arduous. However, this patent application submits that the frames be prepared in the standard motion picture format (as opposed to horizontal strip holograms), and that the frame be projected with a horizontal slit aperture. The film is used in the same way as in Dr. DeBitetto's process, and is projected at constant velocity. The image projected from the hologram onto the screen will only change in vertical parallax as the frame moves by the aperture. However if the film format used is that previously described for holography of the original 2-dimensional integral photographic film, then the vertical parallax does not change as the frame moves by, because the projected image is 2-dimensional and has no vertical (nor horizontal) parallax. The image only changes, therefore, when a new frame comes into view. Therefore, the height of the frame required for the holographic film will depend upon the film velocity and the frame rate. This represents the preferred embodiment for the holographic projector.

Constant velocity is a tremendous advantage for projection of 3-dimensional movies. Since film registration must be held to extremely tight tolerances, not having to stop the film for each frame would provide much needed stability, and film registration would be far simpler. Without this constant velocity transport, each frame would have to be registered with the three-point registration system as described in patent '562. Furthermore, constant velocity film transport reduces the probability of film breakage.

The discussion now turns to intermediate processing of the film. In the previous discussions of the formation of orthoscopic images from pseudoscopic images, image inversion was accomplished during the projection stage. It is considered more desirable to accomplish this operation during the projection stage

because it can be done without the inherent loss in resolution (a factor of $\sqrt{2}$) attached to a process in which a new integral photograph or hologram must be copied from the 3-dimensional projected image. Should it be desired to make a film to be presented to motion picture theaters, which, in turn, when projected, would produce orthoscopic images, then the best method of making such films from the original would be by the projection techniques previously discussed. These projection techniques can be used for film copying as well as for projection onto a screen. However, for the sake of completeness of this application, the methods for image inversion, by making a new integral photograph or hologram from the original reconstructed 3-dimensional pseudoscopic image, will be presented.

Figures 18, 19 and 20 show how to perform this inversion. Figure 18 illustrates converting from one integral photograph to another; Figure 19, from an integral photograph to a hologram; and Figure 20, from one hologram to another. Note that, in each of these setups the film upon which the new integral photograph or hologram is to be produced may be positioned anywhere with respect to the pseudoscopic image. What is important is that the original reconstructed wavefronts be used to form the new record and not the image.

ABSTRACT OF THE DISCLOSURE

[Methods of producing]Method for making a coordinated and complementary set of holograms to be used in the SYSTEM AND APPARATUS FOR THE RECORDING AND PROJECTION OF IMAGES IN SUBSTANTIALLY 3-DIMENSIONAL FORMAT that is the subject of United States Patent No. 6,224,562.

#6

MARKED-UP AMENDMENTS TO THE CLAIMS

(7 pages including this cover sheet)

MARKED-UP AMENDMENTS TO THE CLAIMS

Please amend the claims as follows:

- Claim 1 (amended): A method [of preparing a hologram] for making a coordinated and complementary set of holograms to be used in a system for recording and projection of images in substantially 3-dimensional format, said method comprising the steps of:

  producing the reference beam by passing diffuse coherent light from a laser through the first active optical system containing a plurality of image focusing means therein; and

  producing the object beam by passing diffuse coherent light from the same laser through a second active optical system containing a plurality of image focusing means therein of the same number and arrangement as the first active optical system, the F-number of each said focusing means of the second active optical system being the same as the F-number of the first active optical system, and each said focusing means of the first optical system, wherein all of the component parts of an equation used for determining the F-number of the second optical system are substantially the same multiples of all of the component parts used for determining the F-number of the first active optical system, respectively, said multiple being equal to the expected magnification of the 3-dimensional image.

- Please add the following claim:

  36. The method according to claim 1 wherein a coordinated and complementary set of holograms is produced whereby said coordinated and complementary set of holograms, once produced, is capable of accepting as its input reference beam an

optical wavefront from a 3-dimensional scene an of reconstructing as its output object beam an optical wavefront from said 3-dimensional scene in magnified format such that the magnification is the same in all three-dimensions.

- Please add the following claim:

37. The method according to claim 1 wherein a single hologram is produced whereby said hologram, once produced, is capable of accepting as its input reference beam an optical wavefront from a 3-dimensional scene an of reconstructing as its output object beam an optical wavefront from said 3-dimensional scene in magnified format such that the magnification is the same in all three-dimensions.

- Please add the following claim:

38. The method according to claim 36 wherein only some of the elements comprising said first and second active optical systems are holograms, the remaining elements of said first and second active optical systems being comprised of other types of optics.

- Please add the following claim:

39. The method according to claims 36, 37, or 38 wherein a hologram is prepared by exposing portions of a photographic plate incrementally until the entire hologram is produced.

- Please add the following claim:

40. The method according to claim 39 wherein movable apertures are used to expose said portions of said photographic plate incrementally until the entire hologram is

produced and are used to protect other portions of said photographic plate from being exposed.

- Amend claim 2, line 1, substituting "**40**" for -- **1** --

  Therefore, the first line of claim 2 will read:

  Claim 2 (amended) A method according to claim [1] 40 wherein a movable ...

- Amend claim 9, line 1, inserting "**according to claim 2**" after -- **method** --

  Therefore, the first line of claim 9 will read:

  Claim 9 (amended) A method according to claim 2 of preparing a hologram to be used for ...

- Amend claim 12, line 1, inserting "**according to claim 39**" after -- **method** --

  Therefore, the first line of claim 12 will read:

  Claim 12 (amended) A method according to claim 39 of preparing a hologram to be used as a front ...

- Amend claim 23, line 1, inserting "**according to claim 38**" after -- **method** --

- In order to correct an obvious typographic error, amend claim 23, last paragraph, first line, deleting the word "**a**" after -- **the** -- and before -- **photographic** --

  Therefore, the first line of claim 23 will read:

  Claim 23 (amended - first line) A method according to claim 38 of preparing a hologram to be used in a ...

  The last paragraph of claim 23 will read:

  Claim 23 (amended - last paragraph) ... exposing [a] the photographic plate with both reference and object beams to produce the hologram.

MARKED-UP AMENDMENTS TO THE CLAIMS

- Amend claim 30, line 1, inserting "**according to claim 38**" after -- **method** --
- Amend claim 30, last paragraph, line 1, substituting "**reference**" for -- **laser** --

Therefore, the first line of claim 30 will read:

Claim 30 (amended - first line) A method according to claim 38 of making a hologram capable of recon- ...

The last paragraph of claim 30 will read:

Claim 30 (amended - last paragraph) ... allowing the [laser] reference and object beams to pass through an aperture or slit, and impinge together upon the surface of a photographic film or plate for a sufficient time for photographic exposure.

- Claim 33 (amended) A method according to claim 38 of preparing a second integral photograph to be used in a system for recording and projection of images in substantially 3-dimensional format, from a first integral photograph wherein said first integral photograph used together with an active optical system [consisting of] comprising a plurality of image focusing means therein reconstructs a 3-dimensional image that is pseudoscopic, and wherein said second integral photograph used together with an active optical system [consisting of] comprising a plurality of image focusing means therein reconstructs a 3-dimensional image that is orthoscopic, said method comprising the steps of:

  reconstructing a pseudoscopic real image from the first integral photograph using an active optical system [consisting of] comprising a plurality of image focusing means therein; and,

photographing the pseudoscopic real image onto a photographic film or plate using an identical active optical system [consisting of] comprising a plurality of image focusing means therein as was used to reconstruct the pseudoscopic real image from said first integral photograph.

- Claim 34 (amended) A method according to claim 38 of preparing a hologram to be used in a system for recording and projection of images in substantially 3-dimensional format, from an integral photograph wherein said integral photograph used together with an active optical system [consisting of] comprising a plurality of image focusing means therein reconstructs a 3-dimensional image that is pseudoscopic, and wherein said hologram reconstructs a 3-dimensional image that is orthoscopic, said method comprising the steps of:

  illuminating the integral photograph with coherent radiation from a laser, thereby producing an object beam by reconstructing a pseudoscopic real image from said integral photograph using an active optical system [consisting of] comprising a plurality of image focusing means therein; and,

  producing a reference beam using the same laser as was used to illuminate the integral photograph; and,

  exposing a photographic plate or film using the reference and object beams so produced.

- Amend claim 35, line 1, inserting "**according to claim 38**" after -- **method** --

  Therefore, the first line of claim 35 will read:

MARKED-UP AMENDMENTS TO THE CLAIMS

Claim 35 (amended) A method according to claim 38 of preparing a second hologram to be used ...